

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)ATTY DOCKET NO.
13408.00007APPLICATION NO.
NYAAPPLICANT
RON DEMBO, ET AL.FILING DATE
March 20, 2001GROUP
NYASubmitted to the PTO: **March 20, 2001**

0918 U.S. PTO
09/18/2001
03/20/01



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
H TD	4,346,442	8/82	Musmanno	364	408	
	4,642,768	2/87	Roberts	364	408	
	4,694,397	9/87	Grant, et al.	364	408	
	4,752,877	6/88	Roberts, et al.	364	408	
	4,744,026	5/88	Vanderbei	364	402	
	4,744,027	5/88	Bayer, et al.	364	402	
	4,744,028	5/88	Karmarkar	364	402	
	4,797,839	1/89	Powell	364	554	
	4,674,044	6/87	Kalmus, et al.	364	408	
	5,101,353	3/92	Lupien, et al.	364	408	
	4,953,085	8/90	Atkins	364	408	
H TD	5,148,365	9/92	Dembo ✓	364	402	
	5,799,287	8/98	Dembo	706	36	
	4,346,442	8/82	Musmanno	364	408	
↓						
EXAMINER			DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 2**BEST AVAILABLE COPY**

FORM PTO 1449 (modified)

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FOREIGN PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
H7D	0,401,203	12/90	Europe	G06F	15/24	Yes
	JP3,103,966	4/91	Japan	G06F	15/20	Abstract
	JP3,189,862	8/91	Japan	G06F	15/20	Abstract
	JP3,077,163	4/91	Japan	G06F	15/20	Abstract
	WO 92/15953	9/92	PCT	G06F	15/21	Yes
	WO 92/15064	9/92	PCT	G06F	15/30	Yes
H7D	0,686,926A2	12/95	Europe	G06F	17/60	Yes
↓	0,573,991A1	12/93	Europe	G06F	15/30	Yes
↓	WO 98/54666	12/98	PCT	G06F	17/60	Yes

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

H7D	"Data Compression Techniques For Stock Market Prediction", Salman Azbar, et al., 1994 IEEE.
↓	"Hedging Tools For Investment Professionals", March 1989, Wall Street Computer Review.

EXAMINER

H7Dass

DATE CONSIDERED

4/14/03

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Sheet 2 of 2

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